

18 NCAC 06A .2036 FUNDING PORTAL NOTICE TO ADMINISTRATOR OF CHANGES

(a) Within 30 days of any changes to information on the Form NCFP, a funding portal shall file an amended Form NCFP with the Administrator. A funding portal shall comply with Rule .2030(d) if there is a change in its ownership.

(b) A funding portal shall provide notice to the Administrator within five business days of a change in the issuer(s) using the funding portal by one of the following:

- (1) providing the identity of additional issuers on its Form NCFP;
- (2) amending its Form NCFP to add or remove issuers; or
- (3) providing a separate written statement identifying each issuer using the funding portal.

History Note: Authority G.S. 78A-17.1(a)(5); 78A-17.1(f); 78A-49(a); 78A-49(d); 78A-64; S.L. 2016-103, s.4.(a);
Eff. April 1, 2017.